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PATENTS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Philip M. Ginsberg
Application No.: 09/225,537 Confirmation No. 2363
Filed : January 4, 1999
(CPA filed July 31, 2000)
For : FIXED INCOME PORTFOLIO INDEX
PROCESSOR
Group Art Unit : 2164
Examiner : Geoffrey Akers

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Technology Center 2100

New York, New York 10020
April 10, 2002

Hon. Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97,
applicant hereby makes the documents listed below of
record in the above-identified application.

U.S. Patent

Wagner U.S. Patent No. 4,903,201 February 20, 1990

Other Document

Chicago Mercantile Exchange Interest Rate Products
-- Futures: Referring to the Eurodollar futures contract
developed and introduced by CME in 1981

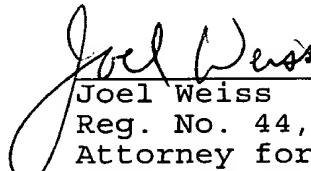
Copies of the documents are enclosed herewith.
It is respectfully requested that these documents be:
(1) fully considered by the Patent and Trademark Office
during the examination of this application; and
(2) printed on any patent which may issue on this

application. Applicant requests that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

Applicant believes that no fee is due at this time because this statement was filed before the mailing date of a first Office Action on the merits. See 37 C.F.R. § 1.97(b)(3). However, the Director is hereby authorized to charge any fee, or credit any overpayment, that may be due in connection with this Information Disclosure Statement, to Deposit Account No. 06-1075. A duplicate copy of this Information Disclosure Statement is enclosed herewith.

An early and favorable action is respectfully requested.

Respectfully submitted,



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